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TO: Examining Group 1614

FROM: Glenn P. Ladwig
Patent Attorney
Registration No. 46,853

COMPANY: United States Patent and
Trademark Office

DATE: May 11, 2004

FAX NO.: 703-872-9306

NO. OF PAGES
(INCLUDING COVER SHEET): 10

SUBJECT/MESSAGE:

Re: U.S. Patent Application Docket No. UF-281D2
MATERIALS AND METHODS FOR TREATMENT OF NEUROLOGICAL
DISORDERS INVOLVING OVERACTIVATION OF GLUTAMATERGIC
IONOTROPIC RECEPTORS
Serial No.: **10/625,825**; Date filed: July 22, 2003
Applicants: Martynyuk et al.

Submission to PTO:

1. Preliminary Amendment
2. Amendment Transmittal Letter

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AMENDMENT TRANSMITTAL LETTER			ATTORNEY'S DOCKET NO. UF-281D2
SERIAL NO. 10/625,825	FILING DATE July 22, 2003	EXAMINER (Not yet assigned)	GROUP ART UNIT 1614
INVENTION Materials and Methods for Treatment of Neurological Disorders Involving Overactivation of Glutamatergic Ionotropic Receptors			

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.
- ☒ Applicant claims small entity status.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.
- ☒ The fee has been calculated as shown below:

(1)		(2)		(3)	SMALL ENTITY		<u>OR</u>	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL	* 35	MINUS	** 30	5	\$ 9	\$45.00		\$18	\$0.00
INDEP.	* 4	MINUS	*** 3	1	\$43	\$43.00		\$86	\$0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				0	\$145	\$ 0.00		\$290	\$0.00
					Total addit. fee	\$88.00	<u>OR</u>	Total addit. fee	\$0.00

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the Highest No. Previously Paid For IN THIS SPACE is less than 20, enter "20."

*** If the Highest No. Previously Paid For IN THIS SPACE is less than 3, enter "3."

The Highest No. Previously Paid For (Total or Indep.) is the highest number found in the appropriate box in Col. 1.

- ☒ Please charge my Deposit Account No. 19-0065 in the amount of \$ 88.00.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0065. Two additional copies of this paper are enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

May 11, 2004

(date)

Steven P. Ladwig
(signature)

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Glenn P. Ladwig
Glenn P. Ladwig, Patent Attorney

PRELIMINARY AMENDMENT

Examining Group 1614

Patent Application

Docket No. UF-281D2

Serial No. 10/625,825

MAY 11 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : (Not yet assigned)
Art Unit : 1614
Applicants : Anatoly E. Martynyuk, Donn Michael Dennis, Alexander V. Glushakov,
Colin Sumners, M. Ian Phillips
Serial No. : 10/625,825
Filed : July 22, 2003
Confirm. No. : 7782
For : Materials and Methods for Treatment of Neurological Disorders Involving
Overactivation of Glutamatergic Ionotropic Receptors

MS AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows:

JAUF281D2\Amend-Resp\PreAmend.doc/DNB/mv